

# Szemerédi's regularity lemma

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*Recap:* Studying Manin's conjecture and (equi)-distribution of rational points on a Fano variety. Another instance of pseudo-randomness emerging with scale is in extremal combinatorics / graph theory.

*Tao (paraphrased):* "The various proofs of Szemerédi's theorem and related theorems and proofs using measure theory, ergodic theory, graph theory, hypergraph theory, probability theory, information theory, and Fourier analysis share a number of common features and serve as a "Rosetta stone" for connecting these fields, notably they often use dichotomy between randomness and structure".

*This time:* Give more detail on Szemerédi's regularity lemma (SRL) and its proof, and the reduction to Roth's theorem / Szemerédi's theorem.

## The statement

*Slogan:* The vertices of a sufficiently large graph can be partitioned into a fixed number of subsets in a way that the interactions between each behave pseudorandomly.

There are several variants of SRL, some place more restrictions on the partition (and so appear at first sight stronger).

**Definition 1.** Let  $G = (V, E)$  be a graph and  $A, B \subseteq V$  two disjoint sets. The density of edges between  $A$  and  $B$  is

$$d(A, B) = \frac{e(A, B)}{|A||B|}$$

We call a pair  $(A, B)$   $\epsilon$ -regular (or uniform) for a given  $\epsilon > 0$ , if for all  $X \subseteq A, Y \subseteq B$  where  $|X| \geq \epsilon|A|$  and  $|Y| \geq \epsilon|B|$ , we have:

$$|d(X, Y) - d(A, B)| \leq \epsilon$$

**Definition 2.** A partition  $V_1, \dots, V_k$  of the vertices of a graph is said to be an equipartition if it is as balanced as possible, i.e.

$$\max\{|V_k| - |V_j|\} \leq 1 \text{ or } \left\lfloor \frac{|V|}{k} \right\rfloor \leq |V_j| \leq \left\lceil \frac{|V|}{k} \right\rceil$$

**Definition 3.** A partition  $V_1, \dots, V_k$  of the vertices of a graph is said to be  $\epsilon$ -regular if most pairs  $(V_i, V_j)$  are  $\epsilon$ -regular, in the sense that at most

$$\epsilon \binom{k}{2} \text{ are not } \epsilon\text{-regular}$$

**Theorem 4 (Szemerédi).** Let  $\epsilon > 0$ , and let  $L$  be a natural number. Then there exists an integer  $L$  such that every graph  $G$  with  $|G| \geq L$  has an  $\epsilon$ -uniform equipartition into  $m$  parts for some  $m$  such that

$$l \leq m \leq L.$$

Note that  $L$  does not depend on  $G$  or even the size of  $G$ , so that for large enough graphs we can consider this a partition into a small number of pieces relative to the size of the graph.

## Applications

**Theorem 5 (Roth).** A subset of the natural numbers with positive upper density contains a 3-term arithmetic progression.

Szemerédi was motivated by generalizing this result and proved:

**Theorem 6 (Szemerédi).** A subset of the natural numbers with positive upper density contains a  $k$ -term arithmetic progression for any  $k$ .

## Method

**Theorem 7 (Roth').** For every  $\delta > 0$ , there exists an  $n_0$  such that for any  $n \geq n_0$  and any subset  $A \subseteq \{1, \dots, n\}$  satisfying  $|A| \geq \delta n$ , there are distinct elements  $a, b, c \in A$  such that  $a + c = 2b$ .

To prove this we instead let

$$B = \{(x, y) : x - y \in A\} \subseteq \{1, \dots, 2n\}^2$$

**Definition 8 (Corners).** A corner in a set  $B \subseteq \{1, \dots, n\}^2$  is a triple of the form  $(x, y), (x + h, y), (x, y + h) \in B, 0 < h$  (anticorner if  $h < 0$ ).

So, given a corner in  $B$  we get a 3 term AP  $(a, b, c)$  in  $A$  from  $(x - y, x + h - y, x - y - h)$ .

**Theorem 9 (Corners theorem).** For every  $\delta > 0$ , there exists an  $n_0$  such that for any  $n \geq n_0$  and any subset  $B \subseteq \{1, \dots, n\}^2$  satisfying  $|B| \geq \delta n^2$ , there is a corner in  $B$ .

Reduce to the weak corners theorem (as above but allowing anticorners).

Then construct a tripartite graph where the triangles correspond to (anti or trivial)-corners of  $B$  and so that all triangles are edge disjoint.

The construction is to have vertices for horizontal, vertical and diagonal lines in  $\{1, \dots, 2n\}$  and put an edge when two such lines meet at a point of  $B$ .

There are at least  $\delta n^2$  triangles in this graph from trivials alone so to remove all of them we must remove at least  $\delta n^2$  edges.

**Theorem 10 (Triangle Removal Lemma).** For all  $1 \geq \delta > 0$ , there exists  $\epsilon > 0$  such that any graph on  $n$  vertices with less than or equal to  $\epsilon n^3$  triangles can be made triangle-free by removing at most  $\delta n^2$  edges.

(If there are not too many triangles you can remove a small number of edges to remove all triangles.)

(this is a special case of the more general Graph removal lemma and the hypergraph removal lemma (used for the full Szemerédi lemma on  $k$ -term APs).)

Now the above graph must have at least  $\epsilon n^3$  triangles as the triangle removal lemma does not apply.

So we have at least

$$\epsilon n^3 - \delta n^2$$

nontrivial triangles, so pick  $n$  such that  $\epsilon n > \delta$  and we are done.

Roth's theorem can be proved directly from the TRL, but the Corners theorem is in some sense a stronger version of Roth.

We can prove the TRL from the so called triangle counting lemma, from SRL (though other proofs are available).

## Proof of SRL

The proof idea is fairly straightforward in the outline:

- We define a (bounded above) quantity called *energy* of an equipartition, that unless the equipartition is  $\epsilon$ -regular can be increased by at least a fixed positive amount (via some modification of the equipartition), without adding too many sets to the equipartition.
- We start with a trivial equipartition and may inductively apply this process which must eventually stop (after a number of steps bounded independently of the input graph) at which point we have proved the lemma.

The details of this are quite involved however!

**Definition 11.** The energy of a partition  $P = \{V_1, \dots, V_k\}$  is

$$0 \leq q_G(P) = \frac{1}{k^2} \sum_{1 \leq i < j \leq k} d_G(V_i, V_j)^2 \leq 1$$

**Lemma 12.** Let  $G$  be a graph of order  $n$  with an equipartition  $V = \bigcup_{i=0}^k C_i$   $|C_1| = |C_2| = \dots = |C_k| = c \geq 2^{3k+1}$ . Suppose that the partition  $\mathcal{P} = (C_i)_{i=0}^k$  is not  $\epsilon$ -uniform, where  $0 < \epsilon < \frac{1}{2}$  and  $2^{-k} \leq \epsilon^5/8$ . Then there is an equitable partition  $\mathcal{P}' = (C'_i)_{i=0}^\ell$  with  $\ell = k(4^k - 2^{k-1})$  such that

$$q(\mathcal{P}') \geq q(\mathcal{P}) + \frac{\epsilon^5}{2}$$

To prove this we take, for each  $(C_i, C_j)$  non-uniform, some sets  $C_{ij} \subset C_i, C_{ji} \subset C_j$  witnessing this so that

$$|C_{ij}| \geq \epsilon |C_i| = \epsilon c, |C_{ji}| \geq \epsilon |C_j| = \epsilon c$$

$$|d(C_{ij}, C_{ji}) - d(C_i, C_j)| \geq \epsilon$$

We would like to partition simultaneously all possible  $C_{ij}$  into new sets  $C_h$  so that each  $C_{ij}$  is a union of a bunch of  $C_h$ 's, which would have larger energy, this isn't quite possible, but we can "atomise" each  $C_i$  by making equivalent points not distinguishable by being in different  $C_{ij}$ s. We then pick  $H = 4^k - 2^{k-1}$  different  $[c/4^k]$ -subsets, all contained in some atom of  $C_i$ .

This new partition can be shown to have an energy of at least  $\epsilon^5/4$  more.

